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UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. **0756-2203**

First Inventor or Application Identifier **Shunpei YAMAZAKI**

Title: **ELECTRO-OPTICAL DEVICE AND METHOD FOR
MANUFACTURING THE SAME**

Express Mail Label No.

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO:

Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original, and a duplicate for fee processing) Total Pages [29]
2. ☒ Specification
(preferred arrangement set forth below)
- Descriptive title of the Invention
 - Cross References to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to Microfiche Appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
3. ☒ Drawing(s) (35 USC 113) Total Sheets [10]
4. ☒ Oath or Declaration Total Pages []
- a. ☐ Newly executed (original or copy)
 - b. ☒ Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 17 completed)
[Note Box 5 below]
 - i. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting
inventor(s) named in the prior application,
see 37 CFR 1.63(d)(2) and 1.33(b).
5. ☐ Incorporation By Reference (useable if Box 4b is checked)
The entire disclosure of the prior application, from which a
copy of the oath or declaration is supplied under Box 4b,
is considered to be part of the disclosure of the
accompanying application and is hereby incorporated by
reference therein.

6. ☐ Microfiche Computer Program (Appendix)
7. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
- a. ☐ Computer Readable Copy
 - b. ☐ Paper Copy (identical to computer copy)
 - c. ☐ Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

8. ☐ Assignment Papers (cover sheet & document(s))
9. ☐ 37 CFR 3.73(b) Statement [] Power of Attorney
(when there is an assignee)
10. ☐ English Translation Document (if applicable)
11. ☒ Information Disclosure Statement [X] Copies of IDS
(IDS)/PTO-1449 Citations
12. ☒ Preliminary Amendment
13. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
14. ☐ *Small Entity [] Statement filed in prior application,
Statement(s) Status still proper and desired
(PTO/SB/09-12)
15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
16. ☐ Other:

*A new statement is required to be entitled to pay small entity fees,
except where one has been filed in a prior application and is being
relied upon.

17. If a **CONTINUING APPLICATION**, check appropriate box, and supply the requisite information below and in a preliminary amendment:
- ☒ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No. **08/962,601**
- Prior application information: Examiner: **J. Jackson, Jr.** Group/Art Unit: **2815**

18. CORRESPONDENCE ADDRESS

☒ Customer Number or Bar Code Label

Customer No. 22204

or ☐ Correspondence address below

(Insert Customer No. or Attach bar code label here)

Name: Eric J. Robinson
Firm: NIXON PEABODY LLP
Address: 8180 Greensboro Drive, Suite 800
City: McLean State: VA
Country: U.S.A. Telephone (703) 790-9110

Zip Code: 22102
FAX (703) 883-0370

Name: Eric J. Robinson

Registration No. 38,285

Signature

Date: September 5, 2000

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

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65705 U.S. PTO
09/583087
02/01/00

CONTINUED PROSECUTION APPLICATION (CPA) REQUEST TRANSMITTAL

Submit an original, and a duplicate for fee processing.
(Only for Continuation or Divisional applications under 37 CFR 1.53(d))

CHECK BOX, if applicable
☐ DUPLICATE

Address to:

Assistant Commissioner for Patents
Box CPA
Washington, DC 20231

Atty Docket No of prior appln 0756-1711

First Named Inventor Shunpei YAMAZAKI

Examiner Name J. Jackson, Jr.

Group/Art Unit 2815

Express Mail Label No.

This is a request for a Continued Prosecution Application (CPA) of prior application Serial No. 08/962,601, filed on October 31, 1997, entitled ELECTRO-OPTICAL DEVICE AND METHOD FOR MANUFACTURING THE SAME.

NOTES

FILING QUALIFICATIONS: The prior application identified above must be a nonprovisional application that is either: (1) complete as defined by 37 CFR 1.51(b), or (2) the national stage of an international application in compliance with 35 U.S.C. 371. A Notice will be placed on a patent issuing from a CPA, except for reissues and designs, to the effect that the patent issued on a CPA and is subject to the twenty-year patent term provisions of 35 U.S.C. §154(a)(2). Therefore, the prior application of a CPA may have been filed before, on or after June 8, 1995.

C-I-P NOT PERMITTED: A continuation-in-part application cannot be filed as a CPA under 37 CFR 1.53(d), but must be filed under 37 CFR 1.53(b).

EXPRESS ABANDONMENT OF PRIOR APPLICATION: The filing of this CPA is a request to expressly abandon the prior application as of the filing date of the request for a CPA. 37 CFR 1.53(b) must be used to file a continuation, divisional, or continuation-in-part of an application that is not to be abandoned.

ACCESS TO PRIOR APPLICATION: The filing of this CPA will be construed to include a waiver of confidentiality by the applicant under 35 U.S.C. 122 to the extent that any member of the public who is entitled under the provisions of 37 CFR 1.14 to access to, copies of, or information concerning, the prior application may be given similar access to, copies of, or similar information concerning, the other application or applications in the file jacket.

35 U.S.C. 120 STATEMENT: In a CPA, no reference to the prior application is needed in the first sentence of the specification and none should be submitted. If a sentence referencing the prior application is submitted, it will not be entered. A request for a CPA is the specific reference required by 35 U.S.C. 120 and to every application assigned the application number identified in such request, 37 CFR 1.78(a).

1. ☐ Enter the unentered amendment previously filed on _____ under 37 CFR 1.116 in the prior nonprovisional application.
2. ☐ A preliminary amendment is enclosed.
3. ☐ This application is filed by fewer than all the inventors named in the prior application, 37 CFR 1.53(d)(4).
 - a. ☐ DELETE _____
 - b. ☐
4. ☐ A new power of attorney or authorization of agent (PTO/SB/81) is enclosed.
5. ☒ Information Disclosure Statement (IDS) is enclosed:
 - a. ☒ PTO-1449
 - b. ☒ Copies of IDS Citations

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Burden Hour Statement. This form is estimated to take 0.4 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissions for Patents, Box CPA, Washington, DC 20231.

CLAIMS	(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) CALCULATIONS
TOTAL CLAIMS (37 CFR § 1.16(c) or (j))	180	- 20* =	160	X \$18.00 =	\$2,880.00
INDEPENDENT CLAIMS (37 CFR § 1.16(b) or (i))	14	- 3** =	11	X \$78.00 =	\$858.00
MULTIPLE DEPENDENT CLAIMS (if applicable) (37 CFR § 1.16(d))				+ \$260.00 =	\$260.00
BASIC FEE (37 CFR § 1.16)					\$690.00
TOTAL OF ABOVE CALCULATIONS =					\$ 4,688.00
Petition Fee					\$130.00
Reduction by 50% for filing by small entity (Note 37 CFR §§ 1.9, 1.27 & 1.28).					
TOTAL =					\$4,818.00

*Reissue claims in excess of 20 and over original patent.
**Reissue independent claims over original patent.

6. Small entity status:
- ☐ A small entity statement is enclosed, if (b) and (c) do not apply.
 - ☐ A small entity statement was filed in the prior nonprovisional application and such status is still proper and desired.
 - ☐ Is no longer claimed.
7. The Commissioner is hereby authorized to credit overpayments or charge the following fees to Deposit Account No. 19-2380:
- ☒ Fees required under 37 CFR § 1.16.
 - ☒ Fees required under 37 CFR § 1.17.
 - ☐ Fees required under 37 CFR § 1.18.
8. ☒ A check in the amount of \$4,818.00 is enclosed.
9. ☐ New Attorney Docket Number, if desired _____
(Prior application Attorney Docket Number will carry over to this CPA unless a new Attorney Docket Number has been provided herein.)
10. a. ☐ Receipt For Facsimile Transmitted CPA (PTO/SB29A)
b. ☒ Return Receipt Postcard (Should be specifically itemized, See MPEP 503)
11. ☒ Other: Petition to Withdraw From Issue Under 37 CFR § 1.313

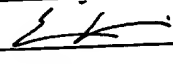
NOTE: The prior application's correspondence address will carry over to this CPA
UNLESS a new correspondence address is provided below.

10. NEW CORRESPONDENCE ADDRESS

☒ Customer Number or Bar Code Label CUSTOMER NO. 22204 or ☐ New correspondence address below

Name: Eric J. Robinson
Firm: SIXBEY, FRIEDMAN, LEEDOM & FERGUSON, P.C.
Address: 8180 Greensboro Drive, Suite 800
City: McLean State: Virginia Zip Code: 22102
Country: U.S.A. Telephone: (703) 790-9110 FAX: (703) 883-0370

11. SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED

Name (Print/Type)	Eric J. Robinson
Signature	
Registration No. (Attorney/Agent)	38,285
Date	February 1, 2000